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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	09/845,645
Filing Date	April 30, 2001
First Named Inventor	Wenhua Lin
Group Art Unit	2882
Examiner Name	Krystyna Susecksi
Attorney Docket Number	LIGHT1920

ENCLOSURES (check all that apply)

Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group
Fee Authorized	Drawing(s)	Appeal Communication to Board of Appeals and Interferences
X Amendment	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
X After Final	Petition to Convert to a Provisional Application	Proprietary Information
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter
Extension of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please identify below):
Express Abandonment Request	Request for Refund	Postcard
Information Disclosure Statement	CD, Number of CD(s) _____	
Certified Copy of Priority Document(s)	Remarks	
Response to Missing Parts/ Incomplete Application		
Response to Missing Parts under 37 CFR 1.52 or 1.53		

Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)
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The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2326. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: 4/24/03

By:

Attorneys for Applicant(s)

Phone: (760) 731-3091
Fax: (760) 728-1541

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Signature			



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wenhua Lin

Serial No.: 09/845,645

Filed: April 30, 2001

For: Tunable Filter

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Group No.: 2882

Examiner: Krystyna Susecksi

Docket No. LIGHT1920

CERTIFICATION UNDER 37 CFR § 1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date <u>4/24/03</u> , in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231	
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AMENDMENT AND REQUEST FOR RECONSIDERATION

In response to the Office Action mailed March 12, 2003, please amend the application as follows:

IN THE CLAIMS

Please amend the claims as follows:

1. (Twice Amended) A filter, comprising:

a light distribution component having an output side;

a plurality of array waveguides each defined by a ridge extending from a slab of a light transmitting medium positioned on a base, the array waveguides configured to deliver a light signal into the light distribution component such that the light signal is incident on the output side of the light distribution component;